

# Direct Funding Process



**Connie S. Markiewicz**

**Assistant Chief Financial Officer**

**Budget Management**

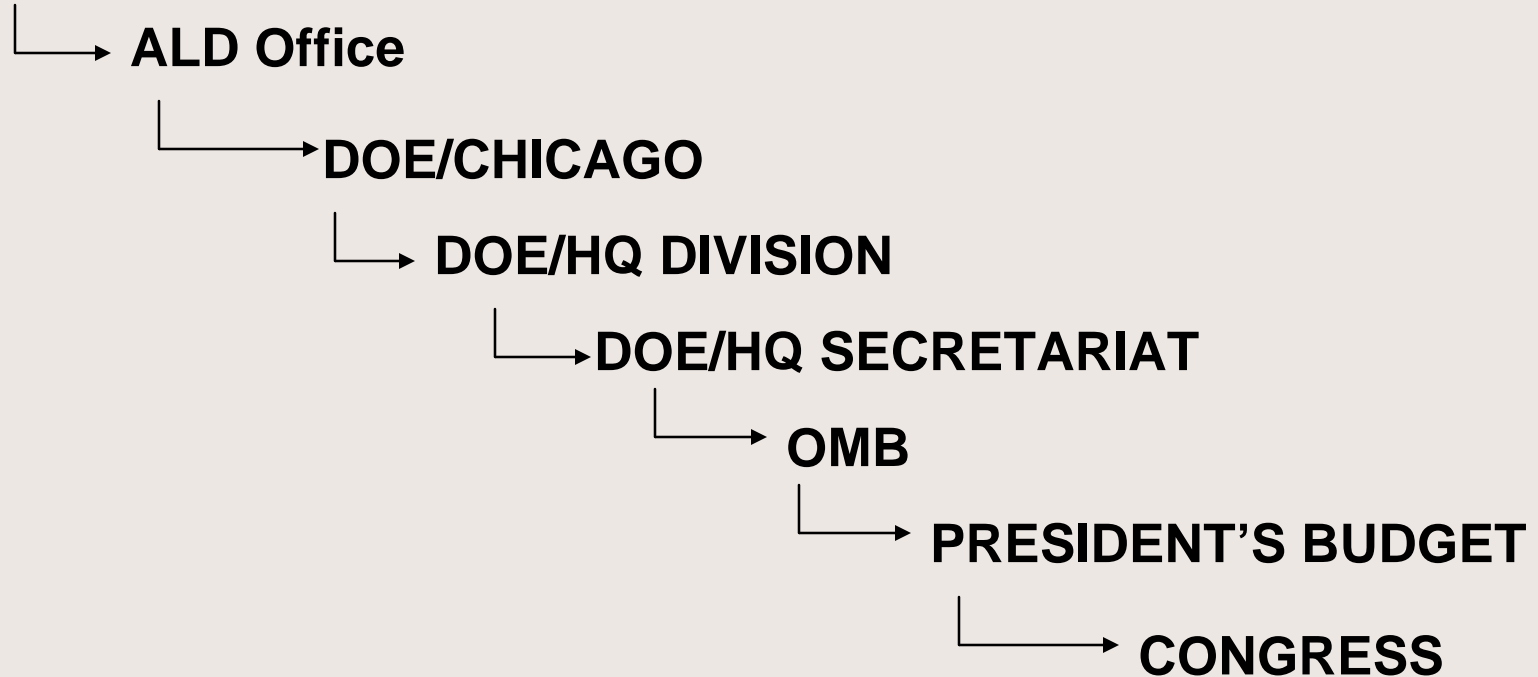
# Sources of Funding

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- DOE
  - Office of Science, Office of Nuclear Energy, etc.
- Work for Others
  - Other Federal Agencies (Department of Defense, Department of Agriculture, etc.)
  - Private Sponsors (State of Illinois, University of Michigan, etc.)

# DOE – Submission Process

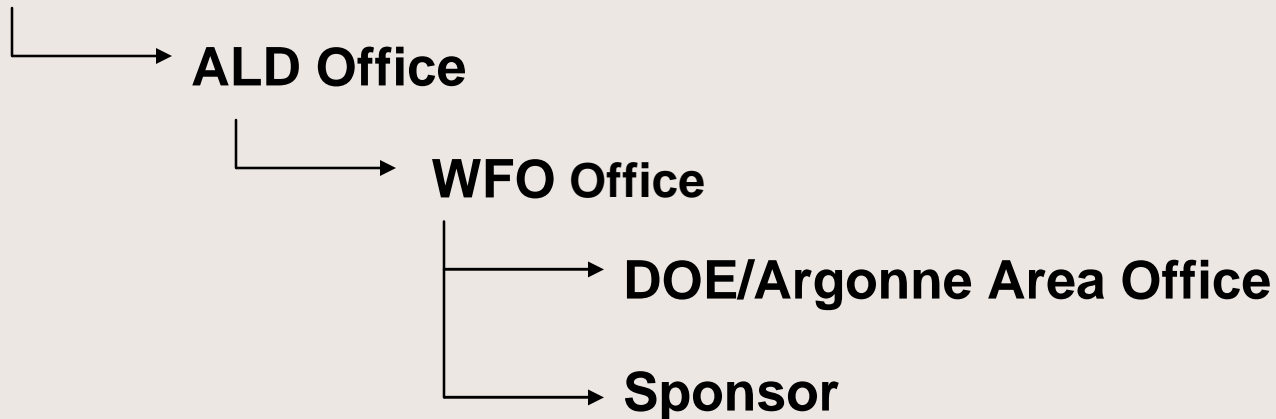
**Principal Investigator/Lead Division (Field Work Proposals)**



<http://www.ocf.anl.gov/budget/2004attdesc.htm>

# WFO – Submission Process

**Principal Investigator/Lead Division (WFO Package with Questionnaire)**



**<http://www.techtransfer.anl.gov/internal/tools-training/wfo/wfo-central.html>**



# Proposal Budget

MAPPS (Management & Proposal Planning System)

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- Determine level and type of effort
- Determine needs for M&S, Travel, Subcontracts, Equipment, etc.
- If multi-year project, how much funding in each fiscal year
- Apply divisional overheads and Laboratory Indirect Expense

# Indirect Expense Rates (FY-2003)

- Materials & Services – 6.8%
- Service Center – 17.7%
- Common Support – 23.0%
- G&A – 3.1%
- DOE Added Factor on WFO – 3.0%
- Provisional ALD Rates (EEST – 3.22%, APS – 2.76%, PBCS – 2.0%, ER – 3.97%)
- Special rates for Safeguards & Security and large construction-type projects

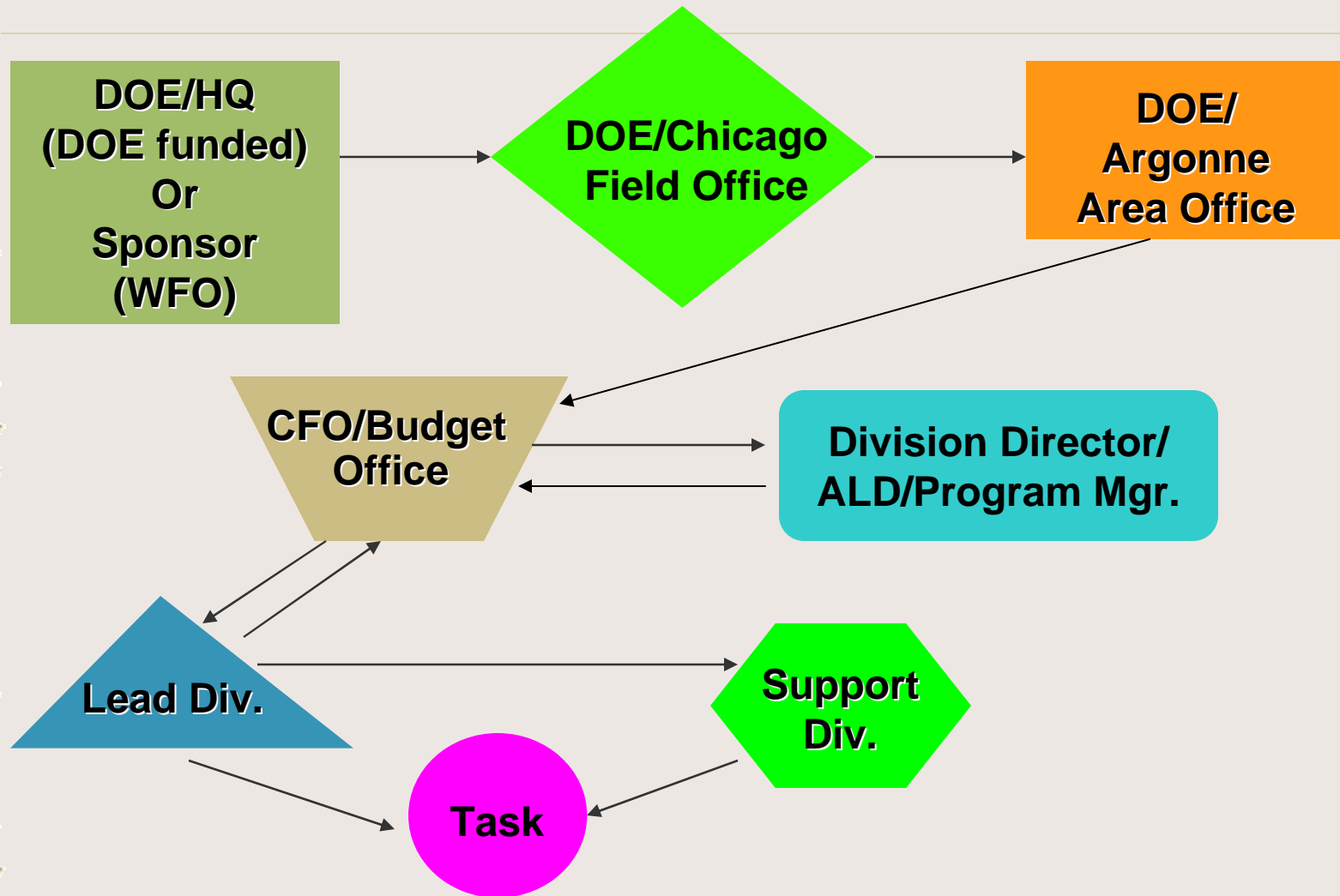
# Application of Indirect Expense Rates

**DOE Project with base cost of \$227,000 under EEST**

|                         | <b>M&amp;S Cost</b> | <b>Service Center Cost</b> | <b>Effort &amp; Other Direct Cost</b> | <b>Total</b>     |
|-------------------------|---------------------|----------------------------|---------------------------------------|------------------|
| Base Cost               | \$68,000            | \$17,000                   | \$142,000                             | \$227,000        |
| ALD Tax (3.22%)         | \$2,190             | \$547                      | \$4,572                               | \$7,309          |
| M&S Rate (6.8%)         | \$4,624             |                            |                                       | \$4,624          |
| Service Center (17.7%)  |                     | \$3,009                    |                                       | \$3,009          |
| Common Support (23.0%)* | \$504               | \$126                      | \$33,712                              | \$34,341         |
| G&A (3.1%)              | \$2,335             | \$641                      | \$5,589                               | \$8,565          |
| Total Project Estimate  | \$77,652            | \$21,323                   | \$185,873                             | <b>\$284,848</b> |

\* Common Support rate is applied to Effort & Other Direct Costs plus ALD tax.

# Funding Process



# Criteria for Authorizing Work

- Three Documents:
  - Approved Funding Program
    - Specifies funds available (DOE/Budget)
  - Work Authorization (Program Guidance Letter)
    - Provides specific program guidance (DOE/Program Office)
  - Prime Contract Modification
    - Funds obligated to ANL (DOE & U of Chicago)



# Who to Contact

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Asst. CFO-Budget Management

Connie Markiewicz x 6854

Budget Operations Manager

Dick Blogg x 6847

Direct Program Budget Administrators

Sherry Moller x 6857

Sarah Ettinger x 6865

Penny Oldham x 6864

Financial Assistant

Jenny Hill x 6860